Improvement of Physicochemical Properties of N-4472. Part III. VC/N-4472 Complex Formation and Self-association in Aqueous Solution

To improve the aqueous solubility of a poorly water-soluble drug, N-[2-(3,5-di-tert-butyl-4-hydroxyphenyl)-4,6-difluorophenyl]-N'-(4-(N-benzylpiperidyl))urea (N-4472), organic acid/N-4472 evaporates were prepared by using succinic acid, L-tartaric acid, citric acid and L-ascorbic acid (VC). Among these evaporates, only the VC/N-4472 evaporate at a molar ratio of more than 2 (VC/N-4472) formed stable colloidal particles (with a mean particle size ≤100 nm) in aqueous solution. In particular, the evaporate prepared at the molar ratio of 5 (VC/N-4472) formed a clear solution comprising fine particles with a narrow particle size distribution of 8—15 nm. On the basis of surface tension measurement, zeta potential determination and static light scattering measurement, it was conceivable that both N-4472 and VC contributed to the formation of a surface-active complex in aqueous solution, while the colloidal particles could be interpreted as the self-association product of these complexes. According to the findings on 1H-NMR and attenuated total reflectance Fourier transform infrared spectra, it was postulated that upon combining both components at a molar ratio of 2 (VC/N-4472), a 1:1 association complex of N-4472 and VC was formed, whereas the higher order complexes were accordingly formed with use of a molar ratio of more than 2 (VC/N-4472). Furthermore, it was evidenced that all of these complexes were composed of amphiphilic structures comprising both hydrophobic N-4472 moiety and hydrophilic VC moiety, thereby properly accounting for surface-active property of these complexes.

Key words solubilization; t-ascorbic acid; complex; self-association; amphiphilic; colloidal particles

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Formation of the counter-ion salt characteristic of higher dissociation constant is one of the promising procedures extensively employed to improve the aqueous solubility of ionic but poorly water-soluble drugs.\(^1\) However, it has been reported that the crystal lattice energy varied even in the crystalline salts formed with the same drug depending on kinds of the applied counter ions, leading to different physicochemical properties in the solid state including apparent solubility and dissolution rate, eventually resulting in difference in the oral absorption rate.\(^2\) From the comparison of the aqueous solubility of both nicardipine phosphate and nicardipine hydrochloride, Michael et al. reported that approximately 10-fold difference in the solubility was observed between them due to successful solubilization of nicardipine phosphate attributable to self-association and micelle formation in the aqueous solution.\(^3\) These findings suggest that difference of the applied counter ions remarkably affected not only the solubility of the salt but also solubilizing behaviors in the aqueous solution. As a consequence, selection of the most appropriate counter ion is significantly outweighed upon the salt formation.

Diphenylmethane derivatives as anti-histamine agents\(^4\) and phenothiazine derivatives as psychotropic agents\(^5\) were solubilized due to intrinsic self-association in aqueous solution. These drugs had common characteristic that the molecules possessed amphiphilic structures comprising both hydrophilic and hydrophobic moieties.

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N-[2-(3,5-Di-tert-butyl-4-hydroxyphenyl)-4,6-difluorophenyl]-N'-(4-(N-benzylpiperidyl))urea (N-4472) is a newly developed drug having a lipid-lowering effect. With its aqueous solubility (44\(\mu g/ml, pK_a; 7.9\)), we investigated aqueous solubilizing behavior and mechanism of the evaporates of N-4472 which were prepared by addition of various organic acids.\(^5\)\(^6\)
evaporate from 9 µg/ml to 90 mg/ml. A surface tension for each aqueous solution at 25 °C was determined using a surface tension equipment (DCAT 11, DataPhysics Instruments GmbH, Germany) by the Wilhelmy plate method. Data were plotted as a function of the logarithm of the VC/N-4472 evaporate concentration. The surface tension for the colloidal solutions generated by dispersing the various VC/N-4472 evaporates (molar ratio=2—5) in distilled water (N-4472 concentration: 20 mg/ml) were determined in the same manner.

Zeta Potential Measurement A zeta potential for each colloidal solution containing N-4472 was determined by using a ELS-8000® (Otsuka Electronics Co., Ltd., Japan). Each sample was analyzed in triplicate.

1H-NMR Spectroscopy 1H-NMR spectrum for each specimen was measured at 24 °C on a JEOL JNM-LA400 spectrometer (JEOL Ltd., Japan) operating at 399.65 MHz for proton in D2O or CD3OD solution. Measurement conditions were as follows: 90° pulse width, 6.25 µs; relaxation delay, 2.9007 s; scan, 16 times. Either TMS or DSS was used as an internal standard.

ATR-FTIR Spectroscopy Attenuated total reflectance Fourier transform infrared (ATR-FTIR) spectra of both the VC/N-4472 evaporate (molar ratio=2—5) micellar solution and VC aqueous solution containing same concentration of VC (30.5 mg/ml) without N-4472 were determined between 1800 and 1700 cm⁻¹ on a FT/IR-480 Plus spectrometer (JASCO Corporation, Japan) which attached the ATR accessory with a horizontal diamond crystal prism. The background absorbance of water was previously determined to act as a reference, which can be automatically subtracted from the absorbance of specimen.

Static Light Scattering Measurement Static light scattering (SLS) measurement for each colloidal solution containing N-4472 was carried out using a DLS-7000 instrument (Otsuka Electronics Co., Ltd., Japan) equipped with a 10 mW He–Ne laser (632.8 nm). The refractive index increment (dn/dc) for each colloidal solution was determined on a double beam differential refractometer, DRM-1021 (Otsuka Electronics Co., Ltd., Japan). The weight-average molecular weight (Mw) of each colloidal particle, which obtained by adding VC/N-4472 evaporate (molar ratio=3—5) to distilled water, was obtained from plots of (Kc/Rg) vs. sin²(θ/2) by the following relationship using the SLS data (Rg), the concentration and the Κ value calculated by dn/dc:

$$Kc/Rg = 1/Mw \left[ 1 + \left( 16 \pi R_g^2 \sin²(\theta/2) / 3M_w \right) \right]$$

where K is an optical constant, c is the concentration, Rg is the Rayleigh ratio, θ is the scattering angle and Rg represents the radius of gyration.9)

Results and Discussion

Formation of Colloidal Particles Containing N-4472 in Aqueous Solution With use of succinic acid, L-tartaric acid, VC and citric acid (Fig. 1), the evaporates being constituted of N-4472 and organic acids at various molar ratios (organic acid/N-4472=0.5—5.0) were prepared according to the solvent method. To these evaporates, distilled water was added so that the N-4472 concentration might become 20 mg/ml. The resultant dispersions were shaken at 37 °C for 2 h and then filtered through a membrane filter (0.2 µm). The filtrates were subjected to the determination of N-4472 concentrations. Figure 2 shows the N-4472 concentration profiles versus the molar ratios of organic acids (organic acid/N-4472) for various evaporates. The VC/N-4472 evaporate showed specifically high concentration of N-4472 in the filtrate relative to those of other organic acid evaporates. Investigation of the N-4472 concentration profiles versus the molar ratios of VC demonstrated that N-4472 concentration began to sharply increase at a molar ratio of 1, subsequently amounting to 20 mg/ml at molar ratios of 2—5. On the other hand, in parallel with alterations of the molar ratios, the outer appearance of the solution changed from a turbid colloidal solution at a molar ratio of 2 to a transparent solution at a molar ratio of 5. Figure 3 shows the absorbance at 650 nm of the colloidal solution and mean particle sizes of the colloidal particles at the molar ratios of 2—5. With an increase of the molar ratio, both the turbidity and the mean particle size decreased. Particularly, the solution was transparent at the molar ratios of 4 and 5, with the mean particle sizes being 24 nm and 11 nm, respectively. Therefore, it is confirmed that the VC/N-4472 evaporate forms very fine particles containing N-4472 in its aqueous solution also that the particle sizes reduced and transparency of the solution increased with an
increase in molar ratios of VC.

Characterization of Colloidal Particles Containing N-4472

Shaking of the colloidal solution (N-4472 concentration: 20 mg/ml) derived from the VC/N-4472 evaporate (molar ratio 5) generated stable foam, indicating that this solution contained a certain substance having surface activity. The surface tension of colloidal solutions consisting of the VC/N-4472 evaporates (molar ratio 5) at various concentrations was determined. Figure 4 shows the concentration–surface tension profiles of the VC/N-4472 evaporates (molar ratio 5). This demonstrates the characteristic profiles of surface-active substances, i.e., sharp decrease in surface tension with the increase of the concentration which was followed by constant surface tension after critical micelle concentration. Figure 5 shows the surface tension values on the various colloidal solutions (molar ratios 2—5) with the N-4472 concentrations being adjusted to 20 mg/ml. The surface tension of the colloidal solutions (molar ratios 2—5) was held constant at 35—37 mN/m, indicating remarkably lower values compared with the value of distilled water alone (72 mN/m). These results disclose the facts that a certain surface-active substance was formed irrespective of any molar ratios. Since either N-4472 or VC was devoid of any surface activity in aqueous solution when they were used alone, the observed surface activity might be attributed to the surface-active complex formed between N-4472 and VC. It is well known that surface-active substances performed self-association in aqueous solution. The fine particles generated from the VC/N-4472 evaporates (molar ratios 2—5) were considered to be the self-association product of the VC/N-4472 complex intrinsically possessing surface activity.

It is postulated that decrease in the mean particle sizes in parallel with elevation of the molar ratios (VC/N-4472) observed in Fig. 3 might be attributable to alterations of the association numbers of the VC/N-4472 complex depending on the amount of VC added.

Figure 6 shows the zeta potential values on the colloidal solutions containing VC/N-4472 evaporates (molar ratios 2—5). The zeta potential values decreased from 55 mV to 45 mV in response to changes of the molar ratio from 2 to 5. Therefore, it is suggested that an increase in the molar ratio resulted in induction of the structural changes of the VC/N-4472 complex, leading to alterations of surface properties of colloidal particles.

Structure Elucidation of VC/N-4472 Complex

Structural changes of the VC/N-4472 complex along with difference in molar ratios were investigated by 1H-NMR spectroscopy. Figure 7 shows 1H-NMR spectra of the colloidal D2O solutions containing VC/N-4472 evaporates (molar ratios 2 and 5). From the comparison of the chemical shifts of both protons on the aromatic ring (III) and CH3 protons in t-Bu group derived from N-4472, the upfield shift of the signals for the sample (molar ratio 5) were found by approximately 0.2 ppm compared to those of low mixing ratio sample (molar ratio 2). In addition, the signals attributable to
protons on the aromatic rings (I) and (II) of N-4472, as well as proton (H(C)) bound to a lactone ring of VC in the sample (molar ratio/H (I)11005 5) were evidenced to shift upfield relative to those of the sample (molar ratio/H (I)11005 2). Table 1 shows the chemical shifts of some protons of N-4472 and VC observed in N-4472, VC and VC/N-4472 evaporates solution. Since 1H-NMR signals of N-4472 could not observe in D2O due to aqueous insolubility, the chemical shift of N-4472 alone was determined by using the mixture solution of CD3OD and D2O in consideration of the effect of D2O. There was a difference in the chemical shifts of VC/N-4472 evaporates solution (molar ratio/H (I)11005 2 and 5) compared with N-4472 and VC solution alone. From these results, it was postulated that there were two kinds of complexes formed in the aqueous solution.

To assess the stoichiometric ratios of the VC/N-4472 complex, 1H-NMR spectra were investigated by using CD3OD. Figure 8 shows Job plot which was derived from 1H-NMR chemical shifts at various molar fractions (r) of both N-4472 and VC. On the basis of the findings that Job plot showed the maximum at r=0.5 for the protons (a, b, c) attributed to both N-4472 and VC, the stoichiometry of the VC/N-4472 complex formed in methanol was confirmed to be 1:1 molar ratio. When 1H-NMR chemical shifts were compared between the complex and N-4472 free base, more notable changes in the chemical shifts were evidenced on H(a) and H(b) which were neighboring to nitrogen atom on the piperidine ring. Accordingly, this indicates that the complex contained ionic pairs within the structure which were generated via electrostatic interaction between the nitrogen atom on the piperidine ring of N-4472 and the enolic hydroxyl group of VC.

Ionic pair formation due to electrostatic interaction could occur even in aqueous solution in the similar manners as methanol solution, although the results obtained in the methanol solution cannot be directly extrapolated to the aqueous solution. In Fig. 2, formation of the colloidal particles containing N-4472 began to sharply increase at the molar ratio of 1, followed by completion of the colloidal particle formation including all the N-4472 molecules at the molar ratio of 2. From this result, it was anticipated that the complex formed at a molar ratio of 2 in the aqueous solution would be a 1:1 ionic pair.

We attempted to clarify the structure of the complex formed at the molar ratio of 5, of which structure was presumably different from that of the complex having the stoichiometric ratio of 1:1. Figure 9 shows ATR-FTIR spectra of the micellar solution (molar ratio/H (I)11005 5) and the VC aqueous solution ranging from 1800 to 1700 cm−1. Infrared absorption at 1758 cm−1 corresponding to the C=O stretching of the VC was detected in the ATR-FTIR spectra in both of the solutions. However, the absorbance at 1758 cm−1 in the micellar solution (molar ratio=5) was significantly weaker than that in the VC aqueous solution, indicating that the carbonyl group in the VC might be involved in formation of this complex. To clarify more details of the interaction mode, investigation was performed with use of four kinds of the N-4472 analogues (A, B, C and D in Table 2). The VC/N-4472 analogues evaporates (molar ratio=5) were prepared according to the solvent method, and distilled water was added to these evaporates. Turbidity for the resultant colloidal solution (con-
Table 2. Turbidity of Colloidal Solutions Prepared by Dispersing VC/N-4472 Analogues (5/1) Evaporates into Distilled Water at 37°C

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It is conceivable that the 1 : 1 complex of N-4472 and VC generated by the electrostatic interaction at molar ratio of 2 might evolve into the higher order complex, namely different kind of VC/N-4472 complex formed at molar ratio of 5 via the further interaction of VC. The interaction mode and the responsible site in the higher order complex might reside on the hydrogen bond between the NH group bound to a piperidine ring of the urea moiety in N-4472 molecule and the carbonyl oxygen of VC. The interaction site responsible for the higher order complexation was considered to be specified as described above from the structural point of view, the stoichiometric ratio of the higher order complex was anticipated to be 1 : 2 of N-4472 and VC. Furthermore, formation of amphiphilic structure comprising both hydrophilic VC moiety and hydrophobic N-4472 moiety within its molecule is conceivably responsible for the surface activity of the complex in aqueous solution.

Formation Mechanism of Colloidal Particles in Aqueous Solution From the static light scattering measurement, the weight-average molecular weight of each colloidal particle was able to determine using the plots of $K_c/R_q$ vs. $\sin^2(\theta/2)$ according to the Rayleigh–Debye equation. Figure 10 shows the weight-average molecular weight of each particle in the colloidal solutions with molar ratios of 3—5. The weight-average molecular weight of each particle in the micellar solution of the molar ratio of 5 was approximately 14000, whereas the counterpart obtained at molar ratio of 3 was about 230-fold greater than the former one. Since the weight-average molecular weight of the self-association product depended on the association number of the complex, the increase of VC amount might contribute to the remarkable decrease of association number. Schreier et al. reported that when drugs possessing surface activity formed the micelles following self-association in aqueous solution, the association number was as small as 10, unlike those of surfactants (50—200). From the assumption that the micellar solution of the molar ratio of 5 was composed of the 1 : 2 complex of N-4472 and VC with the molecular weight of 930, the association number of the 1 : 2 complex was anticipated to be 15 from the weight-average molecular weight (14000), being consistent with the result reported by Schreier et al. Nostro et al. investigated the surface active properties of VC derivatives (ASC 8, ASC 10, ASC 12) which were C 8, C 10 and C 12 esters of VC, they reported that all VC derivatives had surface active properties which were due to their amphiphilic structure comprising both hydrophilic VC moiety and hydrophobic alkyl chain. They also reported that ASC 8 with the shortest alkyl chain was freely soluble in water through micelle formation, whereas ASC 10 and ASC 12, the hydrophobic moiety consisting of longer alkyl chains, showed low aqueous solubility and no micelle formation. These results could be attributable to the difference in the hydrophilic–hydrophobic balance (HLB) values of the amphiphilic VC derivatives. As shown in Fig. 3, the turbidity and the mean particle size were reduced in parallel with the increase in the molar ratio and finally the transparent solution was obtained at the molar ratios of 4 and 5. These phenomena could be explained by the subsequent interaction of VC with the initially formed 1 : 1 complex during the course of the VC molar ratio increment. Further the 1 : 2 complex of N-4472 and VC should have high HLB value due to the increase of hydrophilic moiety in the complex, resulting in the small size associates in aqueous solution.

Conclusion The evaporate prepared from N-4472 and VC showed a specific property to form colloidal particles in aqueous solution which size was less than 100 nm. The resultant colloidal particles were found to be an association product which was generated by self-association of the amphiphilic VC/N-4472 complex which was initially formed in aqueous solution. The formed complex was composed of two different subtypes including the 1 : 1 complex at the low molar ratio of VC/N-4472, and the higher order complex produced by further interaction of VC and increment of the molar ratio. With increasing the molar ratio, concentration of the higher order complex increased, resulting in decrease in the mean particle size of the colloidal particles and in increase of the transparency of the colloidal solution, and finally culminating in N-4472 being solubilized in aqueous solution. It was evi-
denced that significant changes of the colloidal solution were attributed to both alterations of self-association due to formation of the amphiphilic VC/N-4472 complex and changes in its structure. Consequently, forming the surface-active complex between a drug and hydrophilic substance, which associated spontaneously to form micelle in aqueous solution seems to be useful for improving the aqueous solubility of poorly water-soluble drugs.

References